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Attorney Docket No. YOR000140US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): J.L. Hellerstein et al.  
Docket No.: YOR000140US1  
Serial No.: 09/575,553  
Filing Date: May 22, 2000  
Group: 2755  
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: Laura M. Hanlin

Date: April 25, 2002

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Title: Method and System for Recognizing  
End-User Transactions

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. Copies of the listed documents are enclosed together with the search report that listed the documents.

U.S. Patent Documents

1. U.S. Patent No. 5,727,950 issued on 03/17/98 to Cook et al.

Foreign Patent Documents

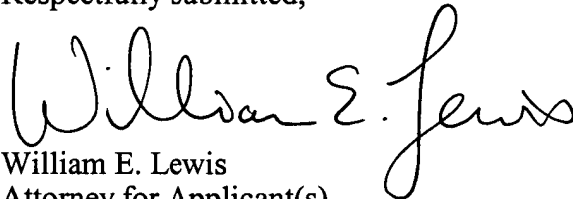
1. PCT International Application WO 01/09792 A2 published 02/08/01
2. PCT International Application WO 93/20508 published 10/14/93

The undersigned certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item listed above was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. It is

believed that there is no fee due in conjunction with the filing of this Supplemental Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink that reads "William E. Lewis". The signature is fluid and cursive, with the first name "William" being the most prominent part.

Date: April 25, 2002

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